

EFFECTIVE CONES OF MODULI SPACES OF STABLE RATIONAL CURVES

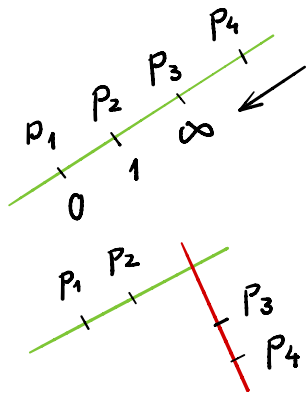
Ana-Maria Castravet (Versailles)
with Antonio Laface, Jenia Tevelev and Luca Ugaglia

June 2021

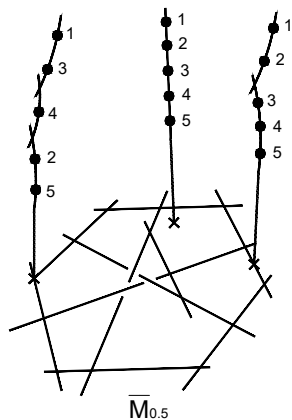
Richmond Geometry Festival

MODULI SPACES OF STABLE RATIONAL CURVES

- $M_{0,n} = \left\{ \begin{array}{l} p_1, \dots, p_n \in \mathbb{P}^1 \\ p_i \neq p_j \end{array} \right\} / \text{PGL}_2$
- $M_{0,3} = \text{pt}$ (send $p_1, p_2, p_3 \rightarrow 0, 1, \infty$)
- $M_{0,4} = \mathbb{P}^1 \setminus \{0, 1, \infty\}$ (via cross-ratio)
- $\overline{M}_{0,4} = \mathbb{P}^1$
- $\overline{M}_{0,n}$ functorial compactification



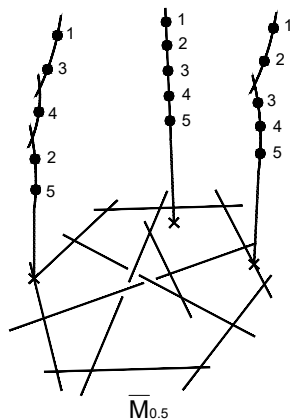
THE MODULI SPACE $\overline{M}_{0,n}$



A **pointed rational stable curve** (C, p_1, \dots, p_n) :

- an at worst nodal curve C with $p_a(C) = 0$
- smooth, distinct points p_1, \dots, p_n ,
- $\omega_C(p_1 + \dots + p_n)$ is ample

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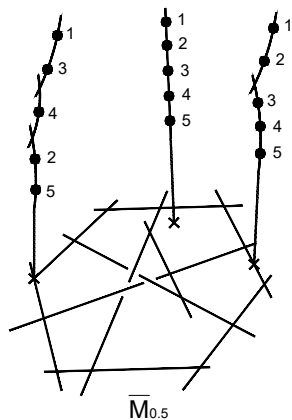
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If C' is a component of C , then

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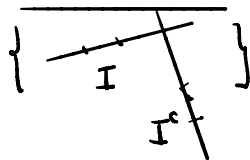
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Boundary divisors

$$I \sqcup I^c = \{1, \dots, n\}$$

$$|I|, |I^c| \geq 2$$



WHAT KIND OF VARIETY IS $\overline{M}_{0,n}$?

- (Kapranov models) $\overline{M}_{0,n} = \dots \text{Bl}_{\binom{n-1}{3}} \text{Bl}_{\binom{n-1}{2}} \text{Bl}_{n-1} \mathbb{P}^{n-3}$
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($-K$ is big and nef)
- $\overline{M}_{0,n}$, $n \geq 8$: $-K$ not pseudo-effective

EFFECTIVE CONES

X normal projective variety

$$N^1(X) = \text{Pic}(X)/\equiv \quad (D \equiv D' \Leftrightarrow D \cdot C = D' \cdot C \text{ for all curves } C \subseteq X)$$

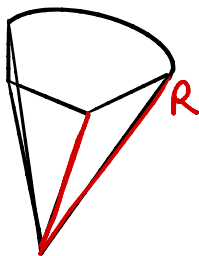
$$\overline{\text{Eff}}(X) = \overline{\left\{ \sum a_i D_i \mid a_i \in \mathbb{Q}_{\geq 0}, D_i \text{ effective} \right\}} \subseteq N^1(X) \otimes_{\mathbb{Z}} \mathbb{R}$$

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$\mathcal{C} \subseteq \mathbb{R}^n$ cone

A ray $R = \mathbb{R}_{\geq 0}\{v\}$ of \mathcal{C} is **extremal** if

$$v = v_1 + v_2, \quad v_1, v_2 \in \mathcal{C} \implies v_1, v_2 \in R$$

THE EFFECTIVE CONE OF $\overline{M}_{0,n}$

- Every **boundary divisor** is contracted by a Kapranov map $\overline{M}_{0,n} \rightarrow \mathbb{P}^{n-3}$ and generates an extremal ray of $\overline{\text{Eff}}(\overline{M}_{0,n})$

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- More **extremal divisors** for $n \geq 7$ (Opie 2016, based on Chen–Coskun 2014, Doran–Giansiracusa–Jensen 2017)

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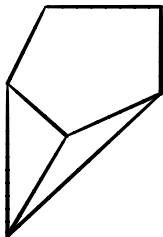
THEOREM (C.–LAFACE–TEVELEV–UGAGLIA 2020)

*The cone $\overline{\text{Eff}}(\overline{M}_{0,n})$ is **not polyhedral** for $n \geq 10$, both in characteristic 0 and in characteristic p , for an infinite set of primes p of positive density (including all primes up to 2000).*

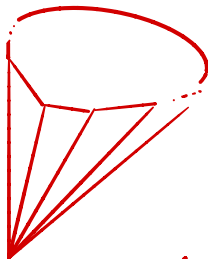
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polyhedral cone



non polyhedral cone

RATIONAL CONTRACTIONS

DEFINITION

A **rational contraction** $X \dashrightarrow Y$ between \mathbb{Q} -factorial, normal projective varieties, is a rational map that can be decomposed into a sequence of

- small \mathbb{Q} -factorial modifications,
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THEOREM

Let $X \dashrightarrow Y$ be a rational contraction. If X has any of these properties then Y does as well:

- **Mori Dream Space** (Keel–Hu 2000, Okawa 2016)
- (rational) polyhedral effective cone (BDPP 2013)

$\overline{M}_{0,n}$ AND BLOW-UPS OF TORIC VARIETIES

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There are rational contractions

$$Bl_e \overline{LM}_{0,n+1} \dashrightarrow \overline{M}_{0,n} \rightarrow Bl_e \overline{LM}_{0,n},$$

where $\overline{LM}_{0,n}$ is the *Losev-Manin moduli space* of dimension $n - 3$,
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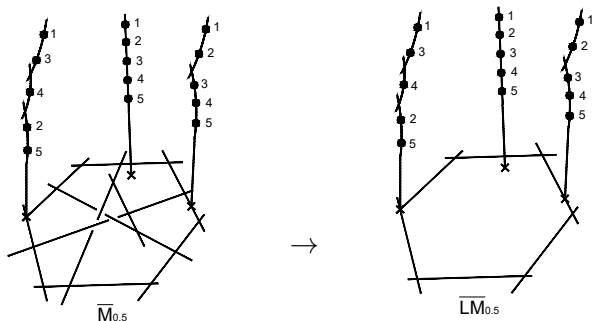
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Kapranov description: $\overline{LM}_{0,n} = \dots Bl_{\binom{n-2}{3}} Bl_{\binom{n-2}{2}} Bl_{n-2} \mathbb{P}^{n-3}$
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THE LOSEV-MANIN MODULI SPACE $\overline{LM}_{0,n}$

The Losev-Manin moduli space $\overline{LM}_{0,n}$ is the Hassett moduli space of stable rational curves with n markings and weights $1, 1, \epsilon, \dots, \epsilon$.



trees of \mathbb{P}^1 's

chains of \mathbb{P}^1 's

UNIVERSAL BLOWN UP TORIC VARIETY

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X projective \mathbb{Q} -factorial toric variety. For $n \gg 0$

- there exists a toric rational contraction $\overline{LM}_{0,n} \dashrightarrow X$
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COROLLARY (C.–TEVELEV, 2015)

$\overline{M}_{0,n}$ is *not a MDS in characteristic 0* for $n \gg 0$. There exists a rational contraction

$$\overline{M}_{0,n} \dashrightarrow Bl_e \mathbb{P}(a, b, c)$$

for some a, b, c such that $Bl_e \mathbb{P}(a, b, c)$ has a nef but not semi-ample divisor (Goto–Nishida–Watanabe 1994).

REMARK

This argument cannot work in characteristic p , where, by Artin's contractibility criterion, a nef divisor on $Bl_e \mathbb{P}(a, b, c)$ is semi-ample.

BLOWN UP TORIC SURFACES

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There exist projective toric surfaces \mathbb{P}_Δ , given by *good polygons* Δ , such that $\overline{\text{Eff}}(Bl_e \mathbb{P}_\Delta)$ is *not polyhedral in characteristic 0*.

For some of these toric surfaces, $\overline{\text{Eff}}(Bl_e \mathbb{P}_\Delta)$ is *not polyhedral in characteristic p* for an infinite set of primes p of positive density.

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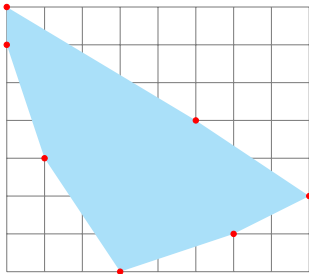
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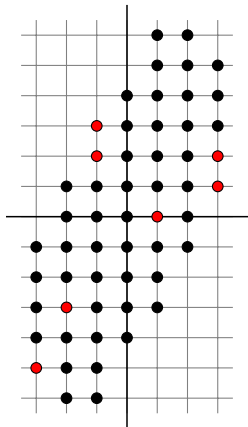
For $n \geq 10$, the space $\overline{M}_{0,n}$ is *not a MDS both in characteristic 0 and in characteristic p* for an infinite set of primes of positive density, including all primes up to 2000.

EXAMPLE OF A GOOD POLYGON



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There is a rational contraction $\overline{M}_{0,10} \rightarrow \text{Bl}_e \overline{LM}_{0,10} \dashrightarrow \text{Bl}_e \mathbb{P}_\Delta$:



Red \rightarrow normal fan of Δ

Black \rightarrow projection of fan of $\overline{LM}_{0,10}$

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$C^\perp \subseteq \text{Cl}(X)$ orthogonal complement of C ; C^\perp contains C

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If X, C are defined over a finite field, then $e(C, X) < \infty$.

NON-POLYHEDRALITY CRITERION

LEMMA

- If $e = e(C, X) < \infty$, then $h^0(eC) = 2$ and $|eC| : X \rightarrow \mathbb{P}^1$ is an elliptic fibration with C a multiple fiber.
- If $e(C, X) = \infty$, then C is *rigid* :

$$h^0(nC) = 1 \quad \text{for all } n \geq 1.$$

In this case, $\overline{\text{Eff}}(X)$ is *not polyhedral* if $\rho(X) \geq 3$.

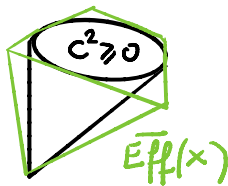
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Nikulin: $\rho(X) \geq 3$, $\overline{\text{Eff}}(X)$ is polyhedral \Rightarrow every irreducible curve C with $C^2 = 0$ is contained in the interior of a facet; in particular, a multiple moves.

BLOWN UP TORIC SURFACES

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A lattice polygon Δ with at least 4 vertices is *good* if there exists

$$C \in |\mathcal{L}_\Delta - mE|$$

irreducible such that (C, X) is an elliptic pair with $e(C, X) = \infty$:

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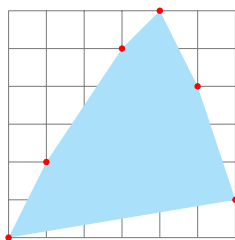
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irreducible such that (C, X) is an elliptic pair with $e(C, X) = \infty$:

- (I) The Newton polygon of C coincides with Δ ($\Leftrightarrow C \subseteq X^{\text{smooth}}$),
- (II) $\text{Vol}(\Delta) = m^2$ and $|\partial\Delta \cap \mathbb{Z}^2| = m$ ($\Leftrightarrow C^2 = 0, p_a(C) = 1$),
- (III) The restriction $\text{res}(C) = \mathcal{O}_X(C)|_C$ is not torsion in $\text{Pic}^0(C)$ over \mathbb{Q} .

EXAMPLE

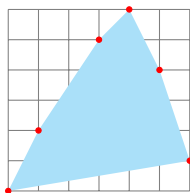


$$\text{Vol}(\Delta) = 36, \quad |\partial\Delta \cap \mathbb{Z}^2| = 6$$

The linear system $|\mathcal{L}_\Delta - 6E|$ contains a unique curve C with equation

$$\begin{aligned} &x^4y^6 + 6x^5y^4 - 2x^4y^5 - 14x^5y^3 - 17x^4y^4 - 4x^3y^5 + \\ &+ x^6y + 11x^5y^2 + 38x^4y^3 + 26x^3y^4 - 9x^5y - 27x^4y^2 - \\ &- 34x^3y^3 + 22x^4y + 16x^3y^2 - 10x^2y^3 - 24x^3y + \\ &+ 10x^2y^2 + 15x^2y + 5xy^2 - 11xy + 1 = 0. \end{aligned}$$

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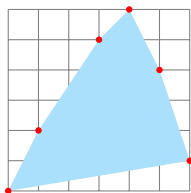
The curve C is a smooth elliptic curve labelled 997.a1 in the LMFDB database. It has the minimal equation

$$y^2 + y = x^3 - x^2 - 24x + 54$$

The Mordell-Weil group $C(\mathbb{Q})$ is $\mathbb{Z} \times \mathbb{Z}$, with generators

$$Q = (1, 5), \quad P = (6, -10)$$

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The curve C is a smooth elliptic curve labelled 997.a1 in the LMFDB database. It has the minimal equation

$$y^2 + y = x^3 - x^2 - 24x + 54$$

The Mordell-Weil group $C(\mathbb{Q})$ is $\mathbb{Z} \times \mathbb{Z}$, with generators

$$Q = (1, 5), \quad P = (6, -10)$$

Computation : $\text{res}(C) = -Q$ (not torsion, so Δ is good)

MINIMAL ELLIPTIC PAIRS

Polyhedrality when $e(C, X) < \infty$? In general, for any $e(C, X)$:

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$(K + C) \cdot C = 0$, C is nef \implies If $(K + C) \cdot E < 0$ then

E is a component of $K + C$ and $C \cdot E = 0$, $K \cdot E < 0$.

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Remark: (C, X) is minimal, $\mathcal{O}(C)|_C$ not torsion, $\mathcal{O}(K + C)|_C = \mathcal{O}|_C \Rightarrow$

$$K + C \sim 0$$

In particular, K is a Cartier divisor and X has **Du Val singularities**.

MINIMAL + DU VAL SINGULARITIES

DEFINITION

Since $K \cdot C = 0$, define on $Cl_0(X) = C^\perp / \langle K \rangle$ *the reduced restriction map*

$$\overline{\text{res}} : Cl_0(X) \rightarrow \text{Pic}^0(C) / \langle \text{res}(K) \rangle$$

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Let (C, Y) be an elliptic pair such that Y has *Du Val singularities*. Let Z be the *minimal resolution* of Y . Then

$$(C, Y) \text{ minimal} \Leftrightarrow (C, Z) \text{ minimal} \Leftrightarrow \rho(Z) = 10.$$

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Assume (C, Y) minimal elliptic pair with $e(C, Y) < \infty$. Then

$$\begin{aligned} \overline{\text{Eff}}(Y) \text{ polyhedral} &\Leftrightarrow \overline{\text{Eff}}(Z) \text{ polyhedral} \Leftrightarrow \\ \text{Ker}(\overline{\text{res}}) &\text{ contains 8 linearly independent roots of } \mathbb{E}_8. \end{aligned}$$

UPSHOT

(C, Y) = minimal model of elliptic pair (C, X)

- $e(C, X) = \infty \Rightarrow \overline{\text{Eff}}(X), \overline{\text{Eff}}(Y)$ not polyhedral (if $\rho \geq 3$)

In this case, Y is Du Val.

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PROBLEM

- *Suppose C, X are defined over \mathbb{Z} , $e(C, X) = \infty$*
- *$X \rightarrow Y$ extends to the morphism of integral models $\mathcal{X} \rightarrow \mathcal{Y}$ over $\text{Spec } \mathbb{Z}$ (outside of finitely many primes of bad reduction)*
- *(C_p, Y_p) is still the minimal elliptic pair associated to (C_p, X_p)*
- *$e(C_p, X_p) < \infty$. Study distribution of “polyhedral” primes*

EXAMPLE - MINIMAL ELLIPTIC PAIR

(C, X) elliptic pair, $X = \text{Bl}_e \mathbb{P}_\Delta$

Zariski decomposition $K_X + C = N + P$, $N = 3C_1 + 2C_2$, $P = 0$

To get minimal elliptic pair (C, Y) , contract C_1, C_2 .

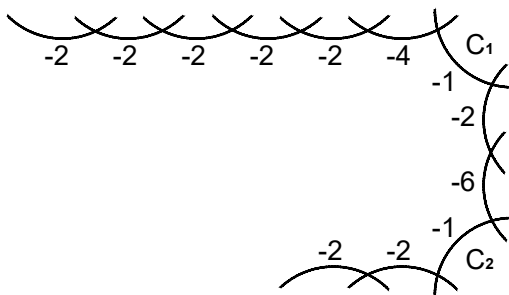
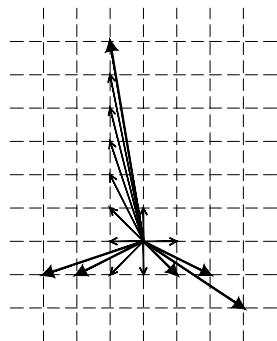
$$\begin{array}{ccc} \text{Bl}_e \tilde{\mathbb{P}}_\Delta & \longrightarrow & Z \\ \downarrow & & \downarrow \\ X & \longrightarrow & Y \end{array}$$

$Z \rightarrow Y$ minimal resolution, $\rho(X) = 5$, $\rho(Y) = 3$, $\rho(Z) = 10$

$\tilde{\mathbb{P}}_\Delta$ is the minimal resolution of \mathbb{P}_Δ

EXAMPLE - MINIMAL RESOLUTION

Fan of the minimal resolution $\tilde{\mathbb{P}}_{\Delta}$ of \mathbb{P}_{Δ} :



$C_1, C_2 =$ proper transforms of 1-parameter subgroups $\{v = 1\}, \{u = 1\}$

EXAMPLE - MINIMAL RESOLUTION

$Z \rightarrow Y$ minimal resolution of Y , $\text{Cl}(Z) = \text{Cl}(Y) \oplus T$

T = sublattice spanned by classes of (-2) curves above singularities of Y

T is contained in the kernel of the reduced restriction map

$$\overline{\text{res}} : \mathbb{E}_8 = \text{Cl}_0(Z) \rightarrow \text{Pic}^0(C)/\langle Q \rangle, \quad Q = (1, 5)$$

Computations:

- $T = \mathbb{A}_7$
- Images of roots of \mathbb{E}_8 in $\mathbb{E}_8/\mathbb{A}_7 = \mathbb{Z}\{\alpha\}$ are $\pm k\alpha$, for $0 \leq k \leq 3$
- $\text{res}(\alpha) = P - Q$ where $P = (6, -10)$

$\overline{\text{Eff}}(Y)$ not polyhedral in characteristic $p \Leftrightarrow k\overline{P} \notin \langle \overline{Q} \rangle$ for $k = 1, 2, 3$

EXAMPLE - NON-POLYHEDRAL PRIMES

Prove that the set of primes p such that

$$\overline{P}, 2\overline{P}, 3\overline{P} \notin \langle \overline{Q} \rangle \subseteq C(\mathbb{F}_p)$$

has positive density.

Fix q prime. It suffices to prove that the set of primes p such that

- q divides the index of $\langle \overline{Q} \rangle \subseteq C(\mathbb{F}_p)$
- q does not divide the index of $\langle 6\overline{P} \rangle \subseteq C(\mathbb{F}_p)$

has positive density.

Apply Chebotarev's Density theorem + a theorem of Lang-Trotter

NON-POLYHEDRAL PRIMES

The set of **non-polyhedral primes** $p < 2000$ for the previous example of a good polygon:

7, 11, 41, 67, 173, 307, 317, 347, 467, 503, 523, 571, 593, 631, 677, 733,
797, 809, 811, 827, 907, 937, 1019, 1021, 1087, 1097, 1109, 1213, 1231,
1237, 1259, 1409, 1433, 1439, 1471, 1483, 1493, 1567, 1601, 1619, 1669,
1709, 1801, 1811, 1823, 1867, 1877, 1933, 1951, 1993

This gives 18% of the primes under 2000.

Thank you!